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# NOTICE OF ALLOWANCE AND FEE(S) DUE

HARNESS, DICKEY & PIERCE, P.L.C. P.O. BOX 828
BLOOMFIELD HILLS, MI 48303

EXAMINER
ECHELMEYER, ALIX ELIZABETH

PAPER NUMBER

ART UNIT

DATE MAILED: 09/16/2011

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/689,198	10/20/2003	Joseph D. Rainville	GP-303508/8540G-000213	4431

TITLE OF INVENTION: REGENERATIVE COMPRESSOR MOTOR CONTROL FOR A FUEL CELL POWER SYSTEM

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	12/16/2011

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED.</u> SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

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B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

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## Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

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Alexandria, Virginia 22313-1450
or Fax (571)-273-2885

appropriate. All further of indicated unless correcte maintenance fee notificat	d below or directed oth	or transmitting the 1550 ing the Patent, advance on herwise in Block 1, by (a	rders and notification of many specifying a new corresponding to the cor	pondence address;	ill be r and/or	nailed to the current (b) indicating a sepa	correspondence address as rate "FEE ADDRESS" for
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HARNESS, DI P.O. BOX 828	CKEY & PIERCI HILLS, MI 48303		I her State addre trans	aby cortify that thi	c Ecolo	of Mailing or Transa ) Transmittal is being icient postage for firs ISSUE FEE address () 273-2885, on the da	nission deposited with the United t class mail in an envelope above, or being facsimile te indicated below.
							(Depositor's name)
							(Signature)
							(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR		ATTO	RNEY DOCKET NO.	CONFIRMATION NO.
10/689,198	10/20/2003	•	Joseph D. Rainville	ainville GP-303508/8540G-00		3508/8540G-000213	4431
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APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE	FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0 \$1810		\$1810	12/16/2011
EXAM	INER	ART UNIT	CLASS-SUBCLASS				
ECHELMEYER, A	LIX ELIZABETH	1729	429-012000				
<ol> <li>Change of correspondence address or indication of "Fee Address" (37CFR 1.363).</li> <li>Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.</li> <li>"Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.</li> </ol>			2. For printing on the patent front page, list  (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.				
PLEASE NOTE: Unle	ess an assignee is ident n in 37 CFR 3.11. Comp	ified below, no assignee	THE PATENT (print or typ data will appear on the pa T a substitute for filing an a (B) RESIDENCE: (CITY	tent. If an assignessignment. and STATE OR C	OUNT	RY)	
Please check the appropri	ate assignee category or	categories (will not be pr	rinted on the patent):	Individual 🖵 Co	rporati	on or other private gro	up entity 🔲 Government
	re submitted: o small entity discount p	permitted)	D. Payment of Fee(s): (Please A check is enclosed. Payment by credit care The Director is hereby overpayment, to Depos	I. Form PTO-2038	is attac	hed.	
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NOTE: The Issue Fee and interest as shown by the r	d Publication Fee (if requeecords of the United Sta	uired) will not be accepted tes Patent and Trademark	d from anyone other than the Office.	e applicant; a regis	stered a	ttorney or agent; or th	e assignee or other party in
Authorized Signature				Date			
Typed or printed name							
This collection of information application. Confident submitting the completed this form and/or suggestions V-200 Alexandria	ation is required by 37 C iality is governed by 35 application form to the ons for reducing this bu irginia 22313-1450. DO	CFR 1.311. The informatic U.S.C. 122 and 37 CFR USPTO. Time will vary rden, should be sent to the NOT SEND FEES OR O	on is required to obtain or re 1.14. This collection is esti depending upon the indivi e Chief Information Office COMPLETED FORMS TO	etain a benefit by the mated to take 12 n dual case. Any col ; U.S. Patent and 7 THIS ADDRESS.	ne publininutes mments Fradem	ic which is to file (and to complete, includin s on the amount of tin ark Office, U.S. Depa DTO: Commissioner f	by the USPTO to process) g gathering, preparing, and ne you require to complete utment of Commerce, P.O. or Patents. P.O. Box 1450.

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10/689,198	10/20/2003	Joseph D. Rainville	GP-303508/8540G-000213 4431	
27572 75	90 09/16/2011	EXAMINER		
· ·	KEY & PIERCE, P.I	ECHELMEYER, ALIX ELIZABETH		
P.O. BOX 828 BLOOMFIELD HI	LLS, MI 48303		ART UNIT	PAPER NUMBER
			1729	<u>,                                      </u>

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 778 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 778 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

## **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	Application No.	Applicant(s)
	10/689,198	RAINVILLE ET AL.
Notice of Allowability	Examiner	Art Unit
	Alix Elizabeth Echelmeyer	1729
The MAILING DATE of this communication appearable claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI	(OR REMAINS) CLOSED in this ap or other appropriate communicatio GHTS. This application is subject to	plication. If not included n will be mailed in due course. <b>THIS</b>
1. This communication is responsive to the Appeal Brief filed J	lune 28, 2011.	
<ol> <li>An election was made by the applicant in response to a rest requirement and election have been incorporated into this a</li> </ol>		the interview on; the restriction
3. ☑ The allowed claim(s) is/are <u>10,17 and 20-26</u> .		
<ul> <li>4. ☐ Acknowledgment is made of a claim for foreign priority under a) ☐ All b) ☐ Some* c) ☐ None of the:</li> <li>1. ☐ Certified copies of the priority documents have</li> </ul>		
<ol><li>Certified copies of the priority documents have</li></ol>	been received in Application No	·
<ol><li>Copies of the certified copies of the priority do</li></ol>	cuments have been received in this	national stage application from the
International Bureau (PCT Rule 17.2(a)).		
* Certified copies not received:		
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		complying with the requirements
5. ☐ A SUBSTITUTE OATH OR DECLARATION must be submit INFORMAL PATENT APPLICATION (PTO-152) which give		
6. ☐ CORRECTED DRAWINGS ( as "replacement sheets") must	be submitted.	
(a) I including changes required by the Notice of Draftspers	on's Patent Drawing Review(PTO	-948) attached
1)  hereto or 2)  to Paper No./Mail Date		
(b) ☐ including changes required by the attached Examiner's Paper No./Mail Date	s Amendment / Comment or in the 0	Office action of
Identifying indicia such as the application number (see 37 CFR 1. each sheet. Replacement sheet(s) should be labeled as such in t	.84(c)) should be written on the drawing he header according to 37 CFR 1.121	ings in the front (not the back) of (d).
<ol> <li>DEPOSIT OF and/or INFORMATION about the deposit of B attached Examiner's comment regarding REQUIREMENT FC</li> </ol>		
Attachment(s)		
1. Notice of References Cited (PTO-892)	5. Notice of Informal I	Patent Application
2. Notice of Draftperson's Patent Drawing Review (PTO-948)	6. ☐ Interview Summary Paper No./Mail Da	
3. ☐ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date	7. ☐ Examiner's Amend	
4.   Examiner's Comment Regarding Requirement for Deposit	8. 🛛 Examiner's Statem	ent of Reasons for Allowance
of Biological Material	9.	
	/ULA C. RUDDOCK/	
	Supervisory Patent Ex	aminer, Art Unit 1729

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### **DETAILED ACTION**

# Claim Interpretation

1. Claims 10 and 21 contain language to a threshold rate and rapid transient mode, relating to the operation of the compressor. In the prosecution history, it is seen that the meaning of these terms als been discussed, specifically in the Rejections of 4/16/08, 10/30/08, and 2/18/09 and the responses of 7/16/08 and 1/29/09. The examiner understands these terms as referring to the change in capacity of the compressor. The rapid transient mode refers to a change from normal operation speed of the capacitor, either speeding up or slowing down of the compressor, in response to increased demand or decreased demand, respectively, of power from the fuel cell. The threshold rate refers to how quickly the compressor changes in capacity, or speed. In normal operation, the compressor can change speed at a rate of less than 40% of its current speed per second. If a greater change in speed is required by the power demands on the fuel cell, then a rapid transient mode is used in which the change in speed of the compressor is greater than the change in speed during a normal mode of operation. In other words, if there is a sudden increase in the electrical requirements of the fuel cell output, and that demand cannot be met by the normal operation of the compressor because the compressor cannot normally change its speed at a high enough rate to provide the needed oxidant, then a rapid transient mode upward is used.

# Allowable Subject Matter

2. Claims 10, 17, and 20-26 are allowed.

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3. The following is an examiner's statement of reasons for allowance: the prior art does not teach or fairly suggest a variable capacity compressor system with a rapid transient mode with a threshold rate of 40%/s change in capacity.

The patents to Benz et al. (US 5,645,950 and5,434,016) teach a variable speed compressor but do not teach a rapid transient mode with a threshold rate of 40%/s change in capacity.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Alix Elizabeth Echelmeyer whose telephone number is (571)272-1101. The examiner can normally be reached on Mon-Fri 7-4:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ula Ruddock can be reached on 571-272-1481. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Art Unit: 1729

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/ULA C. RUDDOCK/ Supervisory Patent Examiner Art Unit 1729 Alix Elizabeth Echelmeyer Examiner Art Unit 1729

aee